Name: **CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT** UNITED STATES HOUSE OF REPRESENTATIVES Report Type Filer Status Sean Patrick Duffy Member of the U.S. House of Representatives Innual (May 15, 2012) District: 07 State: WI Amendment Daytime Telephone (715) 491-2345 Officer or Employee For use by Members, officers, and employees Employing Office: Termination \_ Form A Termination Date: S. HOULDE THE (CS. A.L.) TYES (Office Use Only) ECHANTE DESCUSOE SERVE 30 days late. against anyone who files more than A \$200 penalty shall be assessed 2812 AUS 13 PH 4: 51 HAND DELIVERED 1 of 6

# PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

the onse.	rered and res" respo	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	Yes X No	Yes	V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.
No	Yes	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.		Yes	IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.
N <sub>O</sub>	Yes	Vill. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule Vill.		Yes	III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.
No.	Yes X No	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	₹  ¤		II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.
No X	Yes	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	8	Yes X	I. Did you or your spouse have "earned" income (e.g., salaries or tees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.

# EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Name
Sean
Patrick
Duffy

## SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source	Туре	Amount
	Approved Teaching Fee	\$6,000
	Legislative Pension	\$9,000
Examples Chall War Boundtable (Oct 2nd)	Spouse Speech	\$1,000
	Spouse Salary	NA
AOL Inc - Self Employment	Spouse Self Employment	\$2,740
Edward Jones & Company	Retirement Acct. Dist	\$10,243
Edward Iones & Company	Spouse Retire Acct Dis	\$5,458
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AG	Def	Def Mid	De	Def	Def (		Examples		For rental or other real property wide a complete address. For an ownership interest in that is not publicly traded, staness, the nature of its activities ton in Block A.  Exclude: Your personal resistormes and uring the reporting price in a personal secounts; and any financial interest from, a tederal retirement pro Savings Plan.  If you so choose, you may income source is that of your so choose, you may income source is that of your so choose, you may income source is that of your so choose of the test optional column on the far left. For a detailed discussion of Spease refer to the instruction I please refer to the instruction I	t account	all IRAs s) that ar power, e stments)	Provide complete name: not use ticker symbols.)	made as	end of	my (a) e	Asse	
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Admiral Treasury	P -	p I	P S	É	<u> </u>	1st Bank of Paducah, KY Accounts	Simon & Schuster	Mega Corp. Stock	For renial or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Excluder: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits total-income during the reporting period); any deposits total-income during the reporting period); any deposits total-income during the reporting personal checking or saving accounts; and any financial material in or income derived from, a tederal retirement program, including the Thrift Savings Plan.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent fould (DC), or is jointly held with your spouse (JT), in the optional column on the far left.  For a detailed discussion of Schedule III requirements, please refer to the instruction bookfet.	ment accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.	For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the power that except the encount that except the force of the self-direct that the self-direct	Provide complete names of stocks and mutual tunds (do not use ticker symbols.)	reportable asset of sources of moone writed yet research more than \$200 in "unearmed" income during the year.	of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other	Kentify (a) each asset held for investment or production	Asset and/or income Source	BLOCK A
X THE	Vanguard	Rowe	Stable	Federated	Amer Fund	Paduca	chuster	Stock	held for in held for in privately and its g and its g and its g and its grand checked in held in held in held in the boddel.	ing the character	nt plans ( plans in d, to self or each a	ks and m	come du	ue excek	MUTSBAU	ome S	>
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				-		<b> </b>	Indefinite		\$1 - \$1,000		year and is included only because it generated income, the value should be "None."	If an asset was sold during the reporting	please specify the method used.	reporting year. If you use a valuation	Indicate value of asset at close		
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## SCHEDULE IV-TRANSACTIONS

Name Sean Patrick Duffy

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											SP IRA Total Distribution	IRA Total Distribution	Sold Real Estate Iron River	Example: Mega Corpo	SP, DC, JT Asset	dren, or the purchase or sale of your personal residence, unless it generates rental income. It only a portion of an asset is soid, phase so indicate (i.e., "pertial sale"). See example below.  Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	etty telig kal investiment use socioco e troche description of any exchange trans- resulted in a capital loss. Provide a brief description of any exchange trans- action. Exclude transactions between you, your spouse or dependent chil-	Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real proporting that accorded \$1,000 include transactions that
											×	×	×	_		PURCHASE		Type of Transaction
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											7-1-11	7-1-11	8-10-11	10-12-11		Or Quarterly, Monthly, or Bi-weekly, if applicable	(MO/DAY/YR)	Date
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#### SCHEDULE V- LIABILITIES

Name Sean Patrick Duffy

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

		Date				┪╏	,	2 2	2	2	Amount of Liabili	Amount of Liability
SP. DC,	Creditor	Liability Incurred Mo/Year	Type of Liability		\$10,001- \$15,000		\$15,000 \$15,001-	\$15,000 \$15,001 \$50,000 \$50,001	\$15,000 \$15,001 \$50,000 \$50,001 \$100,000	\$15,000 \$15,001- \$50,000 \$50,001- \$100,000 \$100,001- \$250,000	\$15,000 \$15,001- \$50,000 \$50,001- \$100,000 \$100,001- \$250,000 \$250,001- \$500,000	\$15,000 \$15,001- \$50,000 \$50,001- \$100,000 \$100,001- \$250,000 \$250,000- \$500,001- \$1,000,001- \$1,000,001-
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	∕er, DE	ver, DE	ver, DE	ver, DE	ver, DE X				
JT	Johnson Bank - Hayward	2010	Mortgage on Iron River Prop	iver Prop	iver Prop		iver Prop X					
	Student Loan Corp	1990	Student Loan				×	X	X	X	X	X
JT	Wells Fargo	2003	Line of Credit			X	X	X	X	X	X	X
JT	US Bank	2009	Personal Residence Mortgage	Mortgage	Mortgage	Mortgage	Mortgage	Mortgage X				

#### SCHEDULE VI — GIFTS

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375

Name
Sean
Patrick
Duffy

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## SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by

spouse or dependent child that is totally independent of his or her relationship to you. or were paid by you and reimbursed by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a

Source	Date(s)	City of Departure—Destination— City of Return	Lodging?	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	z	z	Z	None
	Aug. 6–11	DC—Los Angeles—Cleveland	<u> </u>	_	~	2 Days
Heritage Foundation	Jan. 26-30	DC-Los Angeles-Minneapolis	Y	¥	Y	l Day
Young America's Foundation	Nov. 11-13	Wausau-Santa Barbara-Wausau	υY	4	Y	None